

Emp 2812

Serial No. 10/052,234
Attorney Docket No. 40013.003
Conf. No.: 9589



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Duc Chau, et al.

Serial No.: 10/052,234

Filed: January 16, 2002

For: Self-Aligned Trench Mosfets and
Methods for Making the Same

Group Art Unit: 2812

Examiner: Unassigned.

Box DD

Commissioner for Patents
Washington, D.C. 20231

Sir:

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO form 1449. This Information Disclosure Statement is being filed before issuance of an Office Action on the merits.

Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached PTO form 1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D. C. 20231, on this 15th day of April 2002.

Signed: Emily J. Foley
Dated: April 15, 2002



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constitute "prior art." If the Examiner applies any of the documents as "prior art" against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 18-0013/40013-003.

Respectfully Submitted,

By *Kenn Horton*

KENNETH E. HORTON
Reg. No. 39,481

Rader, Fishman & Grauer

Date: April 15, 2002

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